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Monday, 9 February 1948

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at 0930. Appearances:

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INTERNATIONAL MILITARY TRIBUNAL FOR THE FAR EAST Court House of the Tribunal War Ministry Building Tokyo, Japan

The Tribunal met, pursuant to adjournment,

For the Tribunal, all Members sitting, with the exception of: HONORABLE JUSTICE LORD PATRICK, Member from the United Kingdom of Great Britain, not sitting from 0930 to 1600; HONORABIE JUSTICE HENRI BERNARD, Member from the Republic of France, not sitting from 0930 to 1200.

> For the Prosecution Section, same as before. For the Defense Section, same as before.

(English to Japanese and Japanese to English interpretation was made by the Language Section, IMTFE.)

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now in session.

THE PRESIDENT: All the accused are present except SHIKATOKI who is represented by counsel. The surgeon of Sugamo Prison certifies that he is ill and unable to attend the trial today. The certificate will be recorded and filed.

Captain Brooks.

Mh. BROOKS: I think that counsel that was at the lectern had finished as I recall, your Honor.

If so, I am ready to start with KOISO's order of proof.

THE PRESIDENT: KOISO is next in order.

Mk. FROOKS: We now offer in evidence defense document No. 3056. The prosecution tendered evidence, through court exhibit No. 3756-A, transcript page 37,570, which seemingly suggests as if KOISO had opposed the Army reorganization and had blamed War Minister MINAMI on this matter, while in reality KOISO had advocated that the Army reorganization be effected at once. This document, which is now being introduced and constitutes an excerpt from the SAIONJI-HAHADA Memoirs, immediately follows another excerpt, namely court exhibit No. 3756-A in question, tendered by the prosecution. It is clear on the face of this excerpt

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that the prosecution's contention is wholly unfounded. We therefore tender this document in rebuttal.

THE PRESIDENT: Mr. Sutton.

MR. SUTTON: May it please the Tribuncl, the prosecution objects to these as not in enswer to enything new introduced by the prosecution in its rebuttal phase.

We further object on the ground that it appears from the SAIONJI Dairy that there is a sentence between the two paragraphs that appear in this document. It further appears that the last paragraph is a quotation beginning with quotation marks; it contains two sentences, only one of which is offered in this document.

MR. BROOKS: If the Tribunal please, the sentence that was omitted, I am willing to read it in. however, the excerpt that I have is taken from the Japanese original and I do not believe that that sentence appears in the Japanese.

THE PRESIDENT: We are not yet clear what bearing it has on KOISO's case.

Mh. BROOKS: In my running commentary I mentioned the exhibit already introduced by the prosecution which suggests that KOISO had been opposed to Army reorganization. We have had evidence that KOISO had talked about the Army reorganization plan, and the

balance of this excerpt mentions one of the very projects with which he had some connection. It will be clear from the study of the other evidence. And War Minister MINAMI had testified as to his activities and the help of KOISO in this reorganization plan. Therefore I thought that this bears out further his statement and rebuts the prosecution's evidence offered, which was only a partial excerpt.

THE PRESIDENT: By a majority the objection is sustained and the document rejected.

Mk. BROOKS: I now offer in evidence defense document 3057 for the purpose of rebutting the allegation in prosecution exhibit 3801-B, record page 37,814, that KOISO had advocated the conclusion of the Tripartite Pact in order to settle the Chinese problems, and we will also show that KOISO had opposed the Tripartite Pact from the very beginning, and that the entries of SAIONJI-HAHADA Memoirs lack consistency, being based either on hearsay or on the speculations of HAKADA himself.

THE PRESIDENT: Mr. Sutton.

Mk. SUTTON: The prosecution objects to this document as not in answer to anything new brought out by the prosecution in its rebuttal phase.

MR. BROOKS: I have nothing further to add,

if your Honors please. I think if the Court recalls what was in exhibit 3801-B, that this does meet the issues there.

THE PRESIDENT: By a majority the objection is overruled and the document admitted on the usual terms.

CLERK OF THE COURT: Defense document 3057 will receive exhibit No. 3888.

(Whereupon, the document above referred to was marked defense exhibit No. 3888, and received in evidence.)

Mr. BKOOKS: I will now read exhibit No. 3888.
"Excerpt from 'HAKADA SAIONJI Memoir' Chapter
321 (18 April 1939)

"Since I was watched that closely, I thought it bad policy to visit ARITA publicly, so I met him at the wake services for SAITO. Colonel IWAKURO had also sent a man to ARITA to urge the establishment of the military alliance. They desired ARITA's cooperation on the matter. ARITA said: 'I cannot do any more; let it be compromise or anything else.

"Furthermore, the situation is favorable now so sit tight. I (ARITA) told this to them and IWAKURO seemed to be satisfied with my answer. IWAKURO had always supported General KOISO but IWAKURO had been pressed by KOISO that since the situation concerning

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this matter would not, in real, 17, progress any further, it was absolutely unavoidable. Therefore,

IWAKURO has also calmed down. In any event, the decision of the Premier is important. "

The next document, if your Honors please, defense document 3060, is offered for the purpose of rebutting the prosecution exhibit 3801-B, record 37,814, to show that KOISO opposed the Tripartite Pact. This witness is not desired by the prosecution for cross-examination.

THE PRESIDENT: Admitted on the usual terms. Are you objecting, Mr. Sutton?

Mr. SUTTON: May it please the Tribunal, the prosecution objects to this document on the ground that KOISO testified about the contents of the alleged note, and this appears to be an attempt to support the testimony of KOISO which, we respectfully submit, is improper at this stage. When this testimony was originally offered by the same witness, objection was made on the ground that the alleged letter was not produced or its absence accounted for. We respectfully submit that the same objection still applies.

MR. BROOKS: I notice the prosecution has not pointed out in the record where this letter was offered and rejected. The letter has not been offered because

it could not be found, as was made apparent to the Court in KOISO's individual case. KOISO, himself, testified as to the messenger being sent to him in this arbitration matter, and testified as to what the answer was that he gave and his reasons for it, and this not only substantiates KOISO's testimony but rebuts the prosecution's exhibit 3801-B at record page 37,814.

THE PRESIDENT: By a majority the objection is sustained and the document rejected.

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MR. BROOKS: If your Honors please, I see that I put this document in in the wrong order. There was a certificate to meet the objection of the prosecution which is document 3058. This certificate, issued by the Document Section of the Demobilization Bureau, Welfare Ministry, certifies that this document is no longer in existence in their file, and that the document addressed to the Vice-Minister of War YAMANAKI from Overseas Sinister KOISO mentioned in the document just rejected in the early part of May, 1939, concerning the latters opposition to the conclusion of the Japan-Germany-Itely Tripartite Pact, and I believe that if this document is now offered, this certificate, with defense document 3060, it would meet the prosecution's objection.

I would like to reoffer the two documents together, if that is agreeable.

THE PRISIDINT: Mr. Sutton.

MR. SUTTON: May it please the Tribunal, IWAKURO testified before the Tribunal, transcript 32,494 to 32,501. We respectfully submit that the absence of an alleged letter written by YAMANAKI is not sufficiently accounted for by the document tendered, 3058. To say that the letter is not at this time in the possession of the Demobilization Bureau of the

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Welfere Ministry we respectfully submit, does not account for its absence for, so far as this document shows, the letter might well be in the possession of the person to whom it was addressed, YAMANAKI.

The same objection was made and sustained at the time IWAKURO was testifying, record 32,494 to 501.

MR. PROOKS: Your Honors will recall that not only KOISO and IWAKURO but other witnesses that had seen this letter testified that they had seen it and this matter was in their minds, but the Court wouldn't allow the contents of the letter to be given or the gist of it by any of these people unless we produced a certificate.

Through error I should have presented this certificate first and I think that since the certificate has been presented we should allow secondary evidence from this man as to what the contents of that letter are, especially when it is in rebuttal to the rankest form of hearsay from the SAIONJI-HARADA Diary.

THE PRESIDENT: No Member who voted for the rejection of the document has notified me that. he desires to change his mind.

Both documents are rejected.

MR. BROOKS: We next offer the affidavit of

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the witness ISHIWATA, Sotaro, for the purpose of contradicting prosecution exhibit 3806-A, transcript page 37,841, which has reference to Education Minister ARAKI's alleged statement that KOISO had insisted upon the issuance of counterfeit notes at a cabinet meeting.

This witness is not desired for crossexamination by the prosecution.

THE PRESIDENT: Any objection?
Admitted on the usual terms.

CLORK OF THE COURT: Defense document 3059 will receive exhibit No. 3889.

(Whereupon, the document above referred to was marked defense exhibit No. 3889 and received in evidence.)

MR. BRQOKS: I now read exhibit No. 3889, the affidavit of ISHIWATA, Sotaro, omitting the formal parts:

- "1) From January 1939 to August 1939 I was Minister of Finance in the HIRANUMA Cabinet.
- ing the falsification of Chimese paper moneys, a matter affecting so seriously the credit of Japan, a member of the cabinet should have made a careless statement without any arrangment beforehand with the Finance Minister. As Finance Minister I was never consulted

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by Overseas Minister KOISO on subjects of this kind. Nor do I remember Mr. KOISO making such a statement at any Cabinet Conference."

> Signed: "On this 28th day of January 1948. "At Tokyo.

ISHIWATA, Sotaro."

The prosecution, in Court exhibit No. 3754-B, transcript page 37,567, being an excerpt from the SAIONJO-HARADA Memoirs, contradicted KOISO's statement concerning the KOKUHONSHA. In court exhibit No. 3756-A, transcript page 37,570, they alleged that KOISO, when he was the Chief of the Military Affairs Bureau, had opposed the reorganization of the Army. And in court exhibit No. 3801-B, transcript page 37,814, they asserted that KOISO had advocted a Japanese-German-Italian Alliance. Prosecution further tendered in evidence court exhibit No. 3806-A, transcript page 37,841, as throwing an unfevorable light upon the character of KOISO. It is, however, our contention that what the prosecution has attempted to establish is quite contrary to the facts.

In order to prove that it is so and thereby to rebut the prosecution's allegations, we ask the permission of the Tribunal to offer the affidavit of KOISO, Kuniaki.

If the prosecution desires him to take the witness stand --

The prosecution does not desire him for crossexamination.

I, therefore, offer defense document 3066, a further affidavit of KOISO, Kuniaki, in rebuttal.

THE PRESIDENT: Mr. Sutton.

MR. SUTTON: May it please the Tribunal: The prosecution objects to this affidavit on the ground that it is repetitive, argumentative, and not in reply to any new evidence introduced in its rebuttal phase. We object specifically to the following parts of the affidavit:

1. To the entire Section 1, pages 1 to 3 of the document, in which the witness discusses his relationship with HARADA and gives his opinion of the HARADA Diary, on the ground that it is repetitive. The witness was asked on cross-examination about his acquaintance with HARADA, transcript 32,402. He testified fully on this subject, stating in his testimony that he had read all portions of the HARADA Dairy applicable to him, transcript 32,405, and gave his explanation of the entries in the diary as well as his opinion of HARADA, transcript 32,406-7.

Section 2, pages 3 to 7 of the document,

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is objected to as repetitive, the witness quoting therein at length from the testimony which he gave before the Tribunal on 31 October 1947, transcript 32,206, and discussing in great detail the circumstances surrounding the proposals to reduce the strength of the Army in 1931 as to which he testified fully when he appeared as a witness before the Tribunal. This section does not reply to anything new brought out by the prosecution. As to exhibit No. 3754-B, concerning the activities of the Kokohonshu mentioned on page 4 of the affidavit, 11 KOISO was specifically asked on his cross-examination 12 concerning his membership in this society and testified fully as to the purposes and activities of the society, 14 transcript 32,273-6. This exhibit was introduced in 15 rebuttal of his testimony. The last paragraph of Section 2 (if the affidavit, on pages 6 and 7, is further objected to as containing only argument and conclusion of the witness.

3. Section 3, pages 8 to 11 of the document, which is a discussion of exhibit No. 3501-B, transcript 37,815, is objected to on the ground that the very language of this excerpt from the HARADA Dairy concerning the attitude of KOISO toward the Tripartite Alliance was put to KOISO on cross-examination, transcript 32,402, and KOISO testified fully concerning it,

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transcript 32,402-7. We respectfully submit that having once denied his alleged statements at considerable length, he is not entitled to deny them again at even greater length and make an argument therein in luding quotations from his previous testimony.

4. All of section 4, pages 12 to 14 of the document, is objected to except the second paragraph on page 12. The witness was asked on cross-examination about his connection with the Kempeitai and if he did not send the Kempei to China and Manchuria disguised as laborers. He testified that he had no recollection of having done so. (Record, 32,415-6.) Exhibit 3806-A (Record 37,842) is in rebuttal of that testimony of KOISO. The last paragraph on page 12 and all of page 13 is argumentative and purports to be a resume of testimony given before the Tribunal.

affidavit, is objected to on the ground that exhibit 3757-A (Record 37,575) contains nothing adverse to KOISO and requires no explanation on his part. Although he states in the second sentence in this section (page 15) that there is no reference to him in the exhibit and "the exhibit itself does not bear on me in any way," he discusses it at great length. KOISO has already testified before the Tribunal in full concerning all his knowledge of or connection with the dispatch of TATEKAWA to Mukden. (Record, 32,324-6). (That he did not know what instructions TATEKAWA received, (Record 32,324) that

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 he did not discuss the purpose of the trip with TATEKAWA before his departure (Record 32,326), and that he had no arrangements or plans with TATEKAWA in connection with the trip (Record 32,326), and that TATEKAMA was sent on the mission by the Chief of the Army General Staff (Record 32,207).

6. Section 6, pages 17 and 18 of the document, is objected to as repetitive. KOISO testified on cross-examination concerning his connection with the KOKOHONSHU and the purposes of that organization (Record 32,273-5). In this section of the document he merely re-emphasizes and enlarges upon his previous testimony, and after discussing exhibit 3754-B states in the next to the last sentence of the affidavit, "The exhibit itself does not concern me."

We respectfully submit that only such a slight amount of this document is free from substantial objection that it should be rejected in its entirety.

FR. BROOKS: I, of course, am unable to follow and make notes on each objection that the counsel has. However, I think if your Honors will peruse this document that it speaks for itself. The points where the prosecution has said that KOISO has said that he is not concerned with various matters,

to meet their evidence, and there would be no need to meet their evidence, and there would have been no need for them to put in evidence in rebuttal; but they did not accept it, and therefore that is why we are explaining the connection, the facts, to show why the rebuttal evidence is inconsistent. The prosecution has relied heavily upon the evidence they have put in which this rebuts. This is a matter of vital importance to the accused and he should be allowed, in my submission, to meet it with his statements of fact of the position and the reasons why certain statements have been made.

THE PRESIDENT: By a majority the objections are sustained and the document rejected -- unless you are pressing that little bit about counterfeit poney; I do not know.

MR. BROOKS: I believe, if your Honors please, that exhibit 3889 does cover, to a certain extent, the matter of counterfeit money, and there is a sentence or two on an errata sheet which your Honors should have got this morning, which has been left out of this document, that touches upon that very point.

That concludes for KOISO, if your Honor pleases.

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MR. MARITOMI: I should now like to tender two defense documents on behalf of the accused CHIRATORI.

THE PRESIDENT: Is SATO the next? I thought there was --

MR. TAVENNER: If it please the Tribunal, we were advised that that order list had been withdrawn; so if there is any document now that they desire to present, we would like an opportunity to see it first because we had considered that nothing would be offered.

THE PRESIDENT: Did you say SATO?

MR. NARITOMI: SHIRATORI, sir.

THE PRESIDENT: We were told that that list was withdrawn.

MR. NARITOMI: Numbers 1 to 6 on the order list were withdrawn. We should like to present document No. 7 on the list.

THE PRESIDENT: Does Mr. Caudle agree with that?

MR. TAVENNER: If the Tribunal please, we were advised that the entire list had been withdrawn.

THE PRESIDENT: So were we.

MR. TMVENNER: For that reason we do not have the document present, and we would like to have this

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matter passed over for a few minutes until we can assemble the documents.

THE PRESIDENT: You may renew your application later.

Mr. Blewett.

MR. BLEWETT: If the Tribunal please, the President intimated at the beginning of the Court that he was under the assumption we were proceeding in alphabetical order, but if I may be permitted I am prepared to go ahead with TOJO at this time.

THE PRESIDENT: If nobody who should proceed you is ready, we will take TOJO's now, Mr. Blewett.

MR. BLEVETT: Thank you, sir.

I have the witness YAMAGATA, but I am under the understanding that the Russian prosecution will not cross-examine.

MR. TAVENNER: There will be no cross-examination, if the Tribunal please.

IR. BLEWETT: "e offer in evidence defense document 3040, the affidavit of YAMAGATA. The prosecution through the witness Petersdorf offered evidence that Japan had prepared for an attack on Soviet Russia in an endeavor to rebut TOJO's testimony, record 38,415. Petersdorf testified that, he heard of such plans from TOJO and also from YAMAGATA in 1942 at the

Japanese General Staff, specifying the direction of the attack to Vladivostok and Blagoveschensk, record 38,424. The evidence we now offer coperadicts that assertion absolutely.

THE PRESIDENT: Any objection?

Admitted on the usual terms.

CLERK OF THE COURT: Defense document 3040

will receive exhibit No. 3890.

(Whereupon, the document above referred to was marked defense exhibit 3890 and received in evidence.)

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MR. BLEWETT: I shall read exhibit 3890.

"1. From October, 1939, to the end of February, 1941, I was on the staff of the Second Section (in charge of information) and in March, 1941, appointed aide-de-camp to the Emperor. Later I held posts as Air Brigade Commander, etc. I was a colonel when I retired after the termination of the war in 1945.

Second Section of the General Staff Office, Fritz von'
Petersdorf, a language officer of the Military Attache
Section of the Germany Embassy and assistant military
attache to the Embassy, called several times at the
Army General Staff Office for information. He wanted me
to furnish information chiefly regarding the progress
of hostilities in the China Incident, and, in return,
gave us information on the development of Germany's
operations in Europe. He referred to me in his affidavit (accument 3,858) presented to the Court, stating
as follows (record page 38,424):

Japanese General Staff in reply to my question how the Japanese intended to attack the Soviet Union showed me in silence the Vladivostok and Blagoveschensk directions on the map.

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"The real fact, however, was entirely different from his statement.

"As stated above, I was appointed aide-de-camp
to the Emperor in March, 1941, and served in this
office until Lecember, 1944. So during the year of
1942 I did not serve at the General Staff. Accordingly
it was impossible for him to visit me at the General
Staff Office during that year. Besides, the Second
Section, to which I belonged, handled information. In
Japan, affairs concerning military operations were handled
by the First Section, and belonging to the Second Section
I was in no position to have any connection with what
was called the plan of anti-Soviet operations. For
the above reasons, the above portion from Peterscorf's
affidavit is utterly at variance with the facts."

I offer in evidence defense document 3061, being a certificate of the Last Demobilization Office concerning the position of YAMAGATA, showing that in March 1941 he was transferred from the Army General Staff to the position of aige-de-camp to His Majesty.

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This certificate, we submit, proves that he was not connected with the General Staff in 1942, and goes directly to the credibility of the prosecution witness.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 3061 will receive exhibit No. 3891.

(Whereupon, the document above referred to was marked defense exhibit No. 3891 and received in evidence.)

LR. BLEWETT: I shall read exhibit 3891.

"Certificate Concerning the Duties of Former Colonel YAI AGATA, Arimitsu.

"YANAGATA, Arimitsu, a former Colonel, was appointed Military Aide-de-Camp to the Emperor on 1 March 1941 and continued in office until 21 December 1944. It is accordingly certified that he held no office on the Army General Staff during the above-mentioned period. The said fact became known on consulting the 'Army List' in the custody of the Personnel Section of the Demobilization Bureau.

"This 31st day of January, 1948." .

We offer in evidence defense document 3062, the affidevit of AKITA, Hiroshi, and I understand no cross-examination will be conducted of this witness.

THE PRESILENT: No objection? Admitted on the usual terms.

CLERK OF THE COURT: Defense document 3062 will receive exhibit No. 3892.

(Thereupon, the document above

referred to was marked defense exhibit
No. 3892 and received in evidence.)

MR. BLEWETT: I shall read exhibit 3892. This is the sworn deposition of AKITA, Hiroshi.

"(1) I was Chief of the German Section of the Japanese General Staff Headquarter's Second Section, or Intelligence, from April 1941 to March 1943. I was successor to Colonel YAMAGATA. Major KANDORI was my subordinate.

"(2) In the sworn deposition of the witness
Fritz von Petersdorf, produced at this Tribunal on
January 29 of this year, my name is mentioned and it
relates on matters concerning my having obtained information concerning the Soviet Union in 1941-42 from the
Japanese General Staff Headquarters. I shall hereby
mention those points of the above that are at variance
with the facts.

"(3) If the aforesaid Peterscorf had obtained any information from General Staff Heacquarters from March 1941 till the time of his departure to his country, there would have been no other wav than to have obtained them through the Chief of the German Livision, which was myself, or through my subordinate, but as a matter of practice Colonel Kretchmar used to come to me about twice a week for this purpose. It was only when

Kretchmar was unable to come on account of travel or for some other reason that Peterscorf used to come. As I recollect the matter such occasions were extremely few. Further in such cases, I did not meet him, but KANDORI did. And after an interview with him, it was the practice for KANDORI to report to me on the conversation that took place.

in gaining information from the German side about the. European war situation, and from our side reporting on the Pacific War. It was very seldom that we furnished the German side information concerning the disposition of the Soviet Far Eastern Army, its number, transportation, reserve forces, etc. About the only time I remember is when I was asked by Military Attache Kretchmar once if there was any news regarding the transfer of a unit belonging to the Far Eastern Soviet Army to the western front, as the Germans had discovered such a unit in the European battle line. I inquired with the Russian Section on this matter, but as there was no positive proof, I replied to him to the effect that 'I have no definite knowledge on the matter.'

"(5) It is true that we received" -- I
think that word should be "received," your Honor -"from Germany samples of grenades and their construction

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plan. However, these were not a present to the Emperor but were presented to General Staff Headquarters. And it is not true that the grenades were turned over the Chief of General Staff in a solemn ceremony. To say also that it was decided that these be used chiefly on the Manchurian front is absolutely unfounded."

and I have not heard whether or not the prosecution wishes to examine the witness.

MR. TAVENNER: There will be no cross-examination, if the Tribunal please.

MR. BLEWETT: We offer in evidence definse document 3041, the afficavit of URESHINO.

Throughout the case, one of the important issues has been the division of responsibility between military administration and high command. Evidence on this point has been introduced by both prosecution and defense. The only remaining fact to be proved is that of the sea transportation of prisoners of war between the fronts and the Japanese mainland, which was under the jurisdiction of the high command. The Tribunal, through its President, questioned the defendant TOJO regarding it. The evidence of this witness will clarify the situation as to why exhibit 1965, an instruction concerning sea transportation of prisoners

of war, was issued in the names of the Vice-Minister of War and the Vice-Chief of the General Staff jointly.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 3041

will receive exhibit No. 3893.

(Whereupon, the document above referred to was marked defense exhibit No. 3893 and received in evidence.)

MR. BLEWETT: I shall read exhibit 3893, the sworn deposition of URESHINO.

"From the outbreak of the Great East Asia
"ar in December 1941 until its end in August 1945, I
was continuously in the service of the 10th Section of
the Army General Staff, my last rank being lieutenant
colonel.

"The 10th Section, Army General Staff, was in charge of shipping and railway transportation.

"Transportation from the field of operations to Japan proper was handled by the Army General Staff. It was controlled by such personnel as Director of Transportation and Communications, Chief of Shipping Command, and Chief of Shipping Transportation Command under the Chief of General Staff.

"Those prisoners of war who were in PW camps in the field were controlled by the local army commanders

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under the supervision of the War Minister. In transporting to Japan proper such PW's who were under the General Control of War Minister, the War Ministry made a request for their transportation to the General Staff (usually to Chief of Shipping Transportation) and, thus, such seattransportation was carried out at the responsibility of the General Staff. While aboard the ship in the course of such transportation the 6hi of PW camp, or the acting chief, in charge of the PW group being transported, comes under the supervision of the War Minister. Towever, as far as the general matter of transportation (including rations) is concerned, he comes ...nder the Chief of Transport Command and the Genera Staff. The notification concerning PW transportation, that is, court exhibit 1965, was sent from the Vice-War Minister and the Vice-Chief of General Staff in 1942 to the units concerned. This was sent because, as mentioned above, the relationship between War Ministry and General Staff with regard to the transportation of PW's from the field to Japan proper was such that it required cooperation from both of them. It does not mean that the War Minister issued an order as to the High Command with regard to the latter's affairs."

That completes the testimony of the accused

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THE PRESIDENT: Mr. Tavenner, are you ready to go shead with SHIRATORI?

Honor. TAVE NER: The prosecution is ready, your

MR. NARITOMI: I now present in evidence defense document 2920 and a document which serves as a basis thereof, defense document 2919.

Defense cocument 2919 is a letter written by the accused SHIRATORI to Foreign Minister YOSHIDA after his imprisonment at Sugamo Prison. However, this letter was written before SHIRATORI was indicted.

What SMIRATORI is charged of by the prosecution is the various matters which he advocated.

Correction: SHIRATORI is charged by the prosecution by the thought, the philosophy, which he entertained. In order to understand exactly what philosophy SHIRATORI has, one must examine all of the writings -all of the various documents containing his writings.

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SHIRATORI strongly contends that the contents of this letter well express . his fundamental philosophy and desires that this document be admitted into evidence.

One of the most noteworthy points mentioned in this particular document is that already on the 10th of December, 1945, SHIRATORI advocated that a provision be made in the Constitution for Japan's renunciation of war. From this we may say that SHIRATORI was one of the pioneers in the move to include such a provision in the Japanese Constitution.

Although the prosecution have tendered in evi-12 dence various essays and addresses made by SHIRATORI, 13 and although these essays and addresses express his philosophy, it is our submission that this letter also very clearly expresses what SHIRATORI had always cherished as his thought and philosophy.

Defense document 2920 is an affidavit of the former Foreign Minister, YOSHIDA, Shigeru, certifying that SHIRATORI had always entertained the philosophy contained in the other document.

THE PRESIDENT: Mr. Sandusky.

IR. SANDUSKY: May it please the Tribunal, objection is taken to document 2920, the demosition of YOSHIDA, for the reason that it is no more than a certificate, as counsel has stated, certifying the

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circumstances under which document 2919 was written.

THE PRESIDENT: Paragraph 4 goes beyond that.

MR. SANDUSKY: Since the document, Mr. President would have no relevancy except with respect to document 2919, may 1 respectfully suggest that the objections to both documents be considered at one time?

THE PRESIDENT: We do not have copies of 2919 yet.

MR. NARITOMI: I also tender in evidence defense document 2919. I have already given my reasons for the tender.

THE PRESIDENT: Yes, Mr. Sandusky.

MR. SANDUSKY: Mr. President, we object to the both documents that have been tendered by counsel. My reason for objecting to the YOSHIDA deposition has been set forth already.

As to the letter itself, document 2919, we submit that it is highly objectionable as being wholly and completely a self-serving declaration written by the accused SHIRATORI after he had entered Sugamo Prison.

We submit that the political philosophy of the accused SHIRATORI is to be determined from his acts and from his writings during the period covered by the Indictment and not from a declaration written in December of 1945.

This document is otherwije an amazing instrument

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as will be seen from page 3 where the Tribunal is invited to engage in a paper-cutting exercise. In the main, the document deals with the possibility of Christianizing Japan and placing the Emperor in some sort of Messianic position.

We have been able to discern nothing in this document that concerns the charges in the Indictment, nor the evidence that has been presented to this Tribunal.

We respectfully urge the rejection of both documents.

THE PRESIDENT: The objections are sustained and the documents rejected.

MR. NARITOMI: May I have defense document 2919 marked for identification?

THE PRESIDENT: You can if you wish.

CLERK OF THE COURT: Defense document 2919 will receive exhibit No. 3894 for identification only.

(Whereupon, the document above referred to was marked defense exhibit No. 3894 for identification.)

THE PRESIDENT: Who is next?

Major Blakeney.

MR. BLAKENEY: Your Honor, so far as it concerns the four orders of proof with which I have

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connection, I am afraid the documents haven't yet been sent up, although they are ready.

THE PRESIDENT: Are you the last?

MR. BLAKENFY: No, sir. I think there are one or two others who are in the same position.

THE PRESIDENT: We will recess for fifteen minutes.

(Whereupon, at 1045, a recess was taken until 1100, after which the proceedings were resumed as follows:)

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MARSHAL OF THE COURT: The International Milicary Tribunal for the Far East is now resumed. THE PRESIDENT: Major Furness.

MR. FURNESS: If the Court please, in surrebuttal to exhibit No. 3845, page 38,174 of the transcript, I offer in evidence defense document No. 3063, affidavit of MATSUMOTO Shun-ichi.

This affidavit shows that the office in charge of Japanese nationals in enemy countries was established only as a semi-official office within the Foreign Ministry, not by governmental or cabinet action; that it did not implement Article I of the Imperial Ordinance relating to the organization of the Foreign Ministry, exhibit No. 76, as alleged by the prosecution in offering exhibit 3845; and that by this administrative action the Foreign Ministry did not and cruld not assume new or expanded duties, including the treatment of prisoners of war.

Attention is called to the correction by the Language Board of the certificate of HAYASHI, Chief of the Archives Section of the Foreign Office, which in the English text originally stated that the draft was "approved by higher office" to "approved by superiors," transcript page 38,665.

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THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Defense document No. 3063 will receive exhibit No. 3895.

> (Whereupon, the document above referred to was marked defense exhibit 3895 and received in evidence.)

MR. FURNESS: I read exhibit 3895, omitting the formal parts:

112. I was Vice-Minister for Foreign Affairs from November 1942 until October 1944.

113. In the autumn of 1942, with the progress 12 of the war, the duties of the Foreign Ministry concerning management of matters relating to Japanese nationals resident in enemy countries became so onerous that, in view of the fact that such matters were within the jurisdiction of the Foreign Minister, the Chief of the Second Section of the Treaty Bureau of the Foreign Ministry was charged with drafting a plan for the establishment of a bureau in the Foreign Ministry to assume specific responsibility for these matters. Thus in Nevember 1942 a plan was drawn for the "Bureau in Charge of Japanese Nationals Resident in Enemy Countries," and in the middle of that month the Rureau was established, commencing to function from 1 December. Its title, as it was established, did not include the words

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"and Enemy Nationals Residing in Japan."

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"4. The Bureau in question was a mere intra-ministerial office of the Foreign Ministry. It was not such a bureau (kyoku) as was established by the Government Organization Law, but was a mere administrative office (jimushitsu) within the Foreign Ministry. and was thus only a semi-official office. Its very name, Zai Tekikoku Kyoryumin Kankei Jimushitsu, indicates its semi-official status. This bureau was therefore one to which was designated certain matters already within the competence of the Ministry, but it was not established by that Cabinet or Government action which signifies the assignment of new or different responsibilities to a government organ (it was established wholly by this ministerial action, not by regulations, ordinance, or law). The Bureau was established with the approval of the Foreign Minister. No approval for its establishment by any source higher than the Foreign Ministry was needed or obtained. I have been shown exhibit No. 3845, which in the English translation refers to 'approval of superiors.' The words of the original Japanese, 'Kosaian, as they are used constantly in the Foreign Ministry, mean a draft submitted for 'approval of the higher officials' within the Foreign Ministry. This approval may be given by the Minister, or by the Vice-Minister

on his behalf, according to the nature of the matter involved; in this case, the draft was approved by the Foreign Minister. Such action did not, could not, and was not intended to assume new or expanded duties beyond the competence of the Foreign Ministry, which competence did not include treatment of prisoners of war, visits to camps except in so far as liaison and correspondence was concerned.

"5. During my tenure of office as Vice-Minister, the Bureau dealt with matters relating to Japanese nationals resident in enemy countries-correspondence concerning their status and condition -- as matters falling within the duties of the Foreign Ministry. Matters concerning the treatment of enemy prisoners of war and internees, visits to camps, etc., which were the concern of the Bureau only in so far as it had to conduct the liaison with the authorities in charge of these enemy nationals subject to Japanese authority and, as representative of the Foreign Ministry which is the agency dealing with external affairs, to conduct the correspondence with foreign countries, Red Cross organizations, and their representatives concerning such matters. The work of this Bureau was the same as that theretofore conducted by the Treaty Bureau relating to such matters.

"6. I have been shown defense document No:

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3022, which I identify as a proposal relating to expansion of the Bureau in Charge of Japanese Nationals Resident in Enemy Countries, and which correctly states the scope of the duties of that Bureau as they existed and were discharged during my tenure of office as Vice-Minister.

"7. I have been shown defense document No. 3064, which I identify as a circular addressed by Minister SUZUKI, Chief of the Bureau above mentioned, to the Directors of Bureaus and Chiefs of Sections of the Foreign Ministry informing them of the change in the name of the Bureau from that proposed in the original draft to the name under which it functioned."

I next offer in sur-rebuttal to exhibit No. 3845, defense document No. 3022, referred to in the previous exhibit, which shows the functions and duties actually performed by the Office in Charge of Japanese Nationals in Enemy Countries. I intend to read only paragraph 3 on page 2, which shows that its work was liaison business and should be read in comparison with paragraph 3 of exhibit 3845, page 38,175 of the transcript. The Japanese text of this paragraph is exactly the same in the two documents except that the defense document contains the significant word meaning "liaison."

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 3022 will receive exhibit No. 3896.

("hereupon, the document above referred to was marked defense exhibit No. 3896 and received in evidence.)

NR. FURNESS: I will read paragraph numbered 3 on page 2 of the English text.

"Liaison business relating to enemy nationals who are prisoners of war, internees, or residents in camps under Japanese authority.

"Liaison business relating to the treatment, of the above-mentioned enemy nationals (clothing, food, living quarters, inquiries into their safety, furnishing of lists, appointment of, and visits by the countries representing their interests and representatives of the International Committee of the Red Cross, correspondence, relief, labor, punishment, repatriation, etc.).

"Such liaison business includes that of the handling of outgoing communications to representations from enemy countries and other sources."

I now offer in evidence defense document No. 3064, a circular addressed to the directors of bureaus and chiefs of sections, showing the correct name of the bureau, that the name suggested in the draft, exhibit No. 3845, was changed, confirming the testimony of

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SUZUKI, page 12,830 of the transcript, and contradicting the allegation of the prosecutor on page 38,173 of the transcript.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document No.

3064 will receive exhibit No. 3897.

(Whereupon, the document above referred to was marked defense exhibit No. 3897 and received in evidence.)

MR. FURNESS: I will read the body of that document:

"The commencement of the business of the Bureau in Charge of War-Time Internees has been notified by our circular of the 30th ultimo. This is to inform that the name of the same Bureau has been changed as follows:

"The Bureau in Charge of Japanese Nationals in Enemy Countries."

I offer in evidence defense document No. 2848, the affidavit of SUZUKI Tadakatsu, the chief of the bureau in charge of Japanese nationals in enemy countries from December 1942 until the end of the war in August 1945, and sets forth the actual duties and functions performed by that office and the limits of the competency of the Foreign Office in such matters. It is

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offered in reply to exhibit 3845, page 38,074 of the
  transcript.
            THE PRESIDENT: Admitted on the usual terms.
            CLERK OF THE COURT: Defense document 2848
  will receive exhibit No. 3898.
                (Thereupon, the document above
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        referred to was marked defense exhibit
        3898 and received in evidence.)
            MR. FURNESS: I will read that exhibit,
  omitting the formal parts:
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"1. I was Japanese Minister to Egypt from
1946, and returned to Japan in August 1942, by boat on
the occasion of the exchange of British and Japanese
diplomats, and until August 1945 I was Chief of the
Bureau in Charge of Japanese Nationals in Enemy Countries,
which was established in December 1942. I am at present
head of the Yokohama Branch of the Centrial Liaison
Office.

12. I held the post of Chief of the Bureau in Charge of Japanese Mationals in Enemy Countries under Foreign Minister SHIGENITSU from April 1943 to April 1945, and under Foreign Minister TOGO from April 1945 to August of the same year. The Bureau in Charge of Japanese Nationals in Enemy Countries was in charge of matters concerning the protection of Japanese residents in enemy countries, which matters were under the jurisdiction of the Foreign Minister, and formed part of his duties to protect Japanese residents in foreign countries. Matters pertaining to prisoners of war in Japanese hands belonged to the jurisdiction of the War Minister, while matters concerning enemy civilian internees who were located in Japan Proper belonged to the authority of the Home Minister. In view, however, of the collateral relations between the duties of my Bureau to protect Japanese residents in enemy countries

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and the matter of the treatment of prisoners of war and civilian internees in Japanese hands, Foreign Minister TANI, who first established the Bureau of which I was Chief, as well as Foreign Ministers SHIGEMITSU and TOGO, his two successors, charged my Bureau, for convenience' sake, with the duties of transmitting requests for information and protests concerning prisoners of war and civilian internees, which came from enemy countries through neutral representatives of enemy interests, to the above-mentioned competent authorities.

"3, Nr, SHIGEMITSU, who became Foreign Minister in April 1943, showed a special interest in the matter of prisoners of war and civilian internees. He often mentioned to me a Maxim: 'War is only temporary, but humanity permanent.' I always endeavored to live up to what Mr. SHIGEMITSU meant.

"A great difficulty, however, was felt in realizing Mr. SHIGEMITSU's idea owing to the complete lack of jurisdiction on the part of the Fereign Ministry over prisoners of war and civilian intermees. When, for instance, the Foreign Ministry was requested by any foreign country to supply information regarding prisoners of war or civilian internees, or had received protests against their alleged ill-treatment, the Foreign Ministry had no means to make direct inspections, or directly to

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collect information concerning them. When the Foreign Ministry was approached by neutral representatives with requests for permission to visit camps or to send goods for relief to prisoners of war and civilian internees, the Foreign Ministry had no competence to permit the desired visits, nor had it the means to transport relief goods; the only thing which the Foreign Ministry was in a position to do being to transmit such requests to the competent authorities and await their action. In spite of all this, Mr. SPIGEMITSU ordered me to do my best on every occasion in order to contribute to the amelioration of the treatment of prisoners of war, and in compliance with this order I did my best.

enemy country in regard to the matter of prisoners of war, my Bureau transmitted the matter to the competent authorities promptly and accurately, as soon as Japanese translation of such communication had been made, by official note, and sometimes also orally. In case replies were not received in due course, my Bureau endeavored to press for them.

"The method of transmission by writing was described in my affidavit, exhibit No. 2782. In order to transmit or press orally, I often took advantage of the conferences of the officers and officials in charge

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of the Ministries concerned, regarding the protection of Japanese residents in enemy countries, held in my Bureau usually twice a month. These conferences were attended by officers or officials in charge of the War, Navy, Home Affairs, Transportation, Communications, Finance and other Ministries. From the War and Navy Ministries, officers in charge of the Prisoner of War Information Bureau, the Military Affairs Section of the Military Affairs Bureau of the War Ministry, and the 2nd Section of the Naval Affairs Bureau of the Navy Ministry joined these conferences.

as stated above, with the duties of the Foreign Ministry to protect Japanese residents in enemy countries, and that on these occasions we were not at liberty to take up the matter of prisoners of war as such. But I referred indirectly to matters concerning prisoners of war in connection with the question of protecting Japanese residents in enemy countries, or after the conferences my subordinates or I myself talked tete-a-tete with the officers of the competent authorities in charge of these matters.

"5. Whenever the Foreign Ministry had occasion to transmit request for information, or a note of protest, regarding prisoners of war, it made efforts to see that

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their treatment be improved. I may cite an instance. When the long protest of the United States Government, exhibit No. 2024, was received in February 1944, Foreign Minister SHIGEMITSU himself called the attention of the War Minister, and ordered me to avail myself of the occasion of this protest for home consumption in order to assure a better treatment of prisoners of war. He stated that I should on this occasion call the attention of the competent authorities to this matter with the object of (1) correcting the situation if the facts contained in the protest should happen to be in any way true, and more than that, (2) of expediting the solution of the question of visits to camps, for which neutral representatives had frequently requested without obtaining satisfactory results, of pressing for replies to unanswered inquiries about information on various subjects, and of facilitating solution to other matters. I endeavored in compliance with this order. As the result of this, improvements were achieved, viz. relief goods were accepted from the Allied Powers in order to distribute them among prisoners of war, relief funds were delivered to prisoners of war and civilian internees, facility was given to them to dispatch telegrams, and steps were taken towards the solution of the question of permission to visit camps in occupied territories.

"Further, in calling the attention of the competent authorities to matters concerning prisoners of war and civilian internees, Mr. SHIGEMITSU ordered us to use as materials information from enemy sources also, even if not based on official protests. One instance was the telegram from Minister SHICHIDA in Afghanistan, being defense document No. 2849, which was then sent to the Chief of Prisoner of War Information Bureau."

Since I do not intend to offer the document referrer to in the next sentence, I will not read it.

(Reading continued) "6. As far as matters of prisoners of war were concerned, the Foreign Ministry had no competence over their treatment or to collect information regarding them. Mr. SHIGEMITSU, however, thought of a plan, about April or May, 1944, to set up in the Cabinet something like an international laws and customs committee, to have it discuss the question of prisoners of war. Dr. YAMAKAWA, Tadao, ex-Director of the Treaty Bureau and an authority on international law, together with myself, worked on this plan under Mr. SHIGEMITSU. The substance of this plan was to organize a committee, under the jurisdiction of the Prime Minister, consisting not only of members of the Army and Navy Ministries, but of Foreign Ministry officials, and authorities on international law, and to have them study

questions concerning war-time international laws and customs, and matters concerning prisoners too. This plan, however, was not brought to a successful end, since the administration of matters relating to prisoners of war was under the jurisdiction of the Army.

"7. In addition, Mr. SHIGEMITSU took up the matter of prisoners of war at the Supreme Council for Direction of War in October 1944. At this meeting Foreign Minister SHIGE ITSU pointed out to the members who were present that, according to recent information from enemy sources, it was reported that the Japanese treatment of prisoners of war left much to be desired. He further stated that the humanitarian treatment of prisoners of war had been from old times a virtue of our country, and that this was a matter of importance from the point of view of our international reputation and future relations. As it was ; matter for profound regret if by any possible chance we should have committed the slightest possible fault in this matter, he desired that direction should be issued to the responsible persons among the competent authorities, so that the matters might be fully discussed. The Supreme Council for Direction of War was composed of the Prime Minister, the Army and Navy Ministers, the Chiefs of the General Staffs of the Army and Navy and Fereign Minister. Foon after I had heard Mr. SHIGEMITSU repeat

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to me his statement as mentioned above, I was told by a liaison officer of the Prisoner of War Information Bureau that the same Bureau had dispatched its members to prisoner of war camps, and had instructed responsible officers to be considerate in the treatment of prisoners of war.

18. Foreign Minister SHIGEMITSU sincerely and

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earnestly worked for the matter of the exchange of nationals resident abroad, as well as for the transport tation and distribution of American and British relief goods by an exchange ship. He was at last successful in executing the second exchange of American and Japanese nationals resident respectively in the other party's territories, in October 1943, at Port Marmagao (Goa). On that occasion, large quantities of Allied relief goods were transported by the exchange ship Teia Maru, and they were distributed among the prisoners of war and civilian internees who were located in places in Japanese hands. Further, when a request was made late in 1943 by the United Ftates Government for the transportation of relief goods from Vladivostok, to which port the United States Government would forward such goods, and for their deliver; to prisoners of war in various places, Mr. SHIGEMITSU immediately transmitted that request to the competent authorities, and eagerly urged us, his subordinates, to request such authorities to solve the matter. As the

result the American request was granted by the competent authorities, the goods being transported first to Kobe, to China, Hong Kong, French Indo-China, Singapore, and other ports.

"9. As to permission for visits to prisoner of war camps in occupied territories, Mr. SHIGEMITSU made all possible efforts vis-a-vis the competent authorities. I endeavored long and earnestly, under instructions from Minister SHIGEMITSU, orally as well as by note, to obtain permission from the competent authorities to visit such camps. The military authorities made it a policy not to permit visits to camps in occupied territories, and did not readily comply with our requests. In the meantime, neutral representatives several times eagerly requested for the permission, and the Foreign Ministry continued unceasingly to make such requests to the Army authorities. As a result, in December 1944, they consented at last to permit representatives of the International Committee of the Red Cross to visit the camps in the southern areas." I omit the rest of that sentence since I do not intend to offer these documents.

(Reading continued) "10, Mr. TOGO had, like his predecsssor, Mr. SHIGEMITSU, deep concern over the problem of prisoners of war, and instructed me to transact such affairs with utmost care, and to endeavor to take

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advantage of every opportunity for the improvement of the treatment of prisoners of war, from a humanitarian view-point. On my part, I exerted all my efforts in the transaction of this business in conformity with his instructions, in the same manner as at the time of Mr. SHIGEMITSU.

"Ever since Mr. TOGO bacame Foreign Minister for the second time, he had been very busily occupied with .. various important problems in connection with the situation of the war of those days. Nevertheless he did not fail to pay due attention to the treatment of prisoners of war. For instance, on June 3, 1945 the Swiss Minister handed to Mr. TOGO a protest of the United States Government concerning atrocities to American prisoners of war at Puerto Princessa on Palawan Island (exhibit No. 2107). Mr. TOGO immediately took steps to have it transmitted to the competent authorities, and at the same time he personally called the special attention of the War Minister ANAMI to the subject, and further urged him to accord fair and generous treatment to prisoners of war in general, to which he got the latter's consent. I was so told by Mr. TOGO at that time.

"11. In spite of such attitude of Mr. TOGO's, the war situation became very stringent during the second time of his tenure of office, rendering the management of the questions of prisoners of war increasingly difficult.

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Toward the spring and summer of 1945, the situation of the Japanese Army on the fronts in the Philippines, Burma and other sourthern areas deteriorated considerably. As the Allied forces advanced into these districts, the Japanese forces retreated, and fresh protests began to be lodged concerning the treatment accorded by the Japanese Army to the prisoners of war and internees in these regions. The Foreign Ministry transmitted them to the competent authorities without failure. According to the explanations of officials of the War Ministry, however, as a result of the defeats of the Japanese Army, telegraphic communication between the central military authorites and the forces at the front became very difficult and often impossible, and even when such communication was possible, the confusion within the Japanese forces at the front rendered investigations into the matters of prisoners of war almost impossible, and accordingly, in spite of the frequent requests of the Foreign Ministry, the cases increased where we could not receive sufficient answer which would be satisfactory to the Allied countries. In the meantime, the so-called Awa Maru incident of April 1 occurred, exciting the military and adversely affecting the solution of the questions of prisoners of war. During the time of Foreign Minister SHIGEMITSU, I very often saw in Tokyo the Ministers of the neutral countries represent-

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ing the interest of the enemy countries, for liaison business. But at the time of Foreign Minister TOGO these Ministers had evacuated to Karuizawa, and in accordance with Mr. TOGO's instructions, I often took measures of keeping speedy contact with these Ministers by exchanging letters "rough couriers, or by often proceeding to Karuizawa personally, thus exercising all possible efforts in order to meet the situation by taking recourse to expedient measures in time of emergency. Instances of our answers to the Ministers of the neutral countries by means of personal letters or oral communications, which I utilized for expediency in those days instead of official notes of the Foreign Ministry, may be seen in exhibits 2016-A-71 and 2016-A-72.

"In the meantime, the Foreign Ministry received from the military authorities no information whatever concerning the trials of the Allied fliers such as those which took place in the middle of July, 1945 (exhibit 1994.)

in the occupied areas by the representatives of the International Committee of the Red Cross, as mentioned in Section 9 of this affidavit, Mr. TOGO endeavored to see to its realization without delay, and regardless of the fact that by the beginning of June 1945, the acceptance of the conditions of reciprocity which had been imposed

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upon permission for such visits had not yet been officially
   notified to us by all the Governments of the Allied
   countries concerned, the permission for visits to the
   camps in Thailand was actually notified to the swiss
   Minister on June 5. As for the visits to the camps in
   singapore, there arose some questions regarding the
   status of the visitors and the selection of qualified
   persons, but I was informed by the military authorities
   that the visit was actually made on the spot. As far
   as concerns visits to the camps in Manila, the city had
   been recaptured by the spring of 1945, and American nationals
   there liberated (exhibits 2016-A-64, 2016-A-68, 2016-A-69,
   2016-A-72, 2016-A-73.)"
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THE PRESIDENT: Mr. Chief of Counsel.

MR. KEENAN: If the Court please, this document, I understood, had been served upon all of the IPS section seasonably. I received a copy of it the latter part of the week. I am informed now that the copy was served today on the various members of the International Prosecution Section. I therefore ask permission of this Court to call SUZUKI, the affiant, for cross-examination.

THE PRESIDENT: I think the Tribunal are prepared that he be called, Mr. Chief of Counsel, for cross-examination. The Tribunal think that he should be called if you think he should be cross-examined.

MR. FURNESS: If the Court please, I do wish to state that because of suggestions made I took up the evidence I intended to produce and I understood that this witness was not wanted by the prosecution for cross-examination.

THE PRESIDENT: He is a most important witness and should be cross-examined if the prosecution think they have material on which to cross-examine him.

MR. FURNESS: I am merely making that statement to state why he is not here and I think it is confirmed by the fact that no objection was made when I offered the affidavit.

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THE PRESIDENT: Mr. Mattice.

MR. MATTICE: On behalf of the accused ITAGAKI, may I inquire of the prosecution whether they desire to cross-examine the witness UGAKI.

THE PRESIDENT: Judge Nyi.

JUDGE NYI: May it please the Tribunal, we will cross-examine the witness UGAKI.

MR. MATTICE: We now call the witness UGAKI, Kazushige. He has previously testified at this trial on other matters. His affidavit is defense document No. 3047.

The prosecution, if the Tribunal please, introduced exhibit No. 3457, a so-called collection of decisions of Five Ministers Conferences which ITAGAKI, doubtful of its genuineness, could not identify and testified that the statements therein were contrary to the facts or beyond his memory. The witness UGAKI was one of the five ministers at the time in question, was at that time the Foreign Minister, and he will disclose, as far as his memory serves him, what took place at those conferences. He will say that a document such as exhibit No. 3457 did not originally exist; that no record of any kind was made at the cenferences.

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KAZUSHIGE UGAKI, recalled as a witness on behalf of the defense, having been previously sworn, testified through Japanese interpreters as follows: THE PRESIDENT: You are still on your former oath. 6 DIRECT EXAMINATION BY MR. MATTICE: You may give your name to the Tribunal, please. Q 9 A UGAKI, Kazushige. 10 Q Where do you reside? 11 THE PRESIDENT: We do not want it again. He 12 13 has been here before. 14 MR. MATTICE: May the witness be shown 15 defense document 3047, please? 16 (Whereupon, a document was handed to 17 the witness.) 18 Is that your affidavit, Mr. UGAKI? 19 A Yes. 20 Do you wish to note any change or correction 21 in your affidavit? Will you wait just a moment? No corrections. A

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Yes.

MR. MATTICE: I offer it in evidence, if the

Are the statements in your affidavit true?

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JUDGE NYI: The prosecution objects to the introduction of this affidavit. It contains eight paragraphs, the first three of which are merely introductory and will be meaningless if the rest of the document is rejected. I shall, therefore, state my objections to the remaining five paragraphs.

Paragraph 4 deals with the nature and procedure of the Five Ministers' Conference. ITAGAKI had testified about the same subject matter on pages 30,413-30,415 of the transcript. One only need refer to the record. We do not see why it is necessary to introduce a witness at this stage to repeat what is already in the record.

Moreover, when the prosecution tendered the document, exhibit 3457, in rebuttal, Mr. Logan, on behalf of KIDO, had already made objection that there was nothing in the document indicating that these decisions had been submitted to, or approved by, the cabinet. Mr. Mattice, on behalf of ITAGAKI, also objected and stated that no minutes were taken at the Five ministers' Conference. They appear on pages 37,346 and 37,349, respectively. These objections were overruled and the document was admitted. We think it will open the door for unnecessary argument if the present witness is allowed to repeat what

ITAGAKI and the learned counsel had already stated to this Court but not accepted.

With regard to paragraph 5, we did not state to the Court when we tendered the document that the said decisions were based on proposals from the Foreign Office or that the copy we presented was an original copy. The certificate only says that the document is an official document of the Japanese Government and that it is part of the official archives and files of the Foreign ministry. Therefore, it is pointless for the witness to testify that the document is not a true original unless it bears the witness' signature.

Paragraph 6 contains information which is impaterial and superfluous. No one has so far made it an issue whether the Five Ministers' Conference must be attended by all the Five Ministers or not. It is, therefore, a matter of no importance.

In paragraph 7, the witness tries to tell the Court that some of the decisions as contained in the document were against his own views and, therefore, highly improbable. We must point out that one's views are subject to changes. Sometimes one may suppress his own views on considerations of expediency without totally giving up his views. The

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mere fact that the witness' views were opposed to the decisions as outlined in the document in question does not preclude the possibility that he once joined in making these decisions.

The witness stated on page 3 and 4 that item No. 2 and 3 of the decisions were improbable. As to item No. 4, he stated on page 4 that he does not remember and that he cannot think such decisions could have been made. As to item No. 5, he again stated that it was improbable considering his views and ideas at that time. As to item 12, he considered it absurd that such a decision had been made. He is thus relying on reasoning rather than on memory or recollection of facts.

Therefore, in our submission, the whole of paragraph 7 is speculative and indefinite. The testimony lacks certainty and, consequently, it does not have probative value.

In the 8th paragraph, which is the last paragraph, the witness draws a general conclusion by saying that he is inclined to believe that this document was compiled after his resignation by someone based on an opinion of somebody, and that of the caliber of minor clerk and from a rough draft at that. If such testimony is to be accepted, we shall be relying on guesswork and conjecture to which there can be

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no end.

Before concluding, I wish to specifically point out that time and again the prosecution had introduced documents containing decisions of the Five ministers' Conference without objection from the defense. In one instance, Mr. Furness of the defense expressly stated to the Court that he raised no objection when exhibit 978 was tendered in evidence, transcript page 9,548. Other exhibits containing decisions of the Five Ministers' Conference are exhibit 216, decisions made on 7 August, 1936, page 2,727; exhibit 979, decisions made 11 August, 1936, page 9,549; exhibit 612, decisions made 25 November, 1938, page 6,731. The last mentioned was also participated by ITAGAKI as war Minister in the KONOYE Cabinet. They came from the same source, that is, the Archives Section of the Foreign Ministry. The accuracy and enforceability of the decisions have never been questioned. In our submission, the introduction of this affidavit at this late stage is highly unreasonable and pointless. We, therefore, submit that this document should be rejected in its entirety.

THE PRESIDENT: Mr. Mattice, you need not argue anything except paragraphs 4, 6 and 8.

MR. MATTICE: I think I am prepared to agree

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that paragraph 4 in the main is, perhaps, cumulative and repetitious to some extent. However, here is a person, this witness, who was present at these conferences. What he says in the early paragraphs, particularly paragraph 4, is preliminary to what he says later on. It is not too important. We feel inclined to at least argue that what we offer here is matter which measures up as high, if not higher, than the so-called record of the decisions of the Five ministers' Conferences, the exhibit referred to.

Objection was made to the introduction of these excerpts from the so-called memoirs time after time. It may be, as Judge Nyi says, there may have been an instance where no objection was made. An objection was made to the introduction of these alleged records of Five ministers' Conferences. An objection should have been made to them. And we think they should not have been admitted because they are simon-pure hearsay and second and third degree hearsay at that.

THE PRESIDENT: You had better continue arguing after recess. Have you much more to say?

LR. LATTICE: Nothing much more to say.

THE PRESIDENT: By a majority, the objections are overruled except as to paragraphs 4, 6 and 8. The

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remainder of the document is admitted on the usual 1 terms. CLERK OF THE COURT: Defense document 3047 3 will receive exhibit No. 3899. 4 (Whereupon, the document above 5 referred to was marked defense exhibit 6 No. 3899 and received in evidence.) 7 THE PRESIDENT: Ve will recess until half-8 past one. 9 (Whereupon, at 1200, a recess 10 was taken.) 11 12 13 14 15 16 17 18 19 20 21 22 23 24

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AFTERNOON SESSION

The Tribunal met, pursuant to recess, at 1330.

MARSHAL OF THE COURT: The International

Military Tribunal for the Far East is now resumed.

THE PRESIDENT: With the Tribunal's permission the accused KAYA will be absent from the courtroom the whole of the afternoon session conferring with his counsel.

Mr. Mattice.

KAZUSHIGE UGAKI, called as a witness on behalf of the defense, resumed the stand and testified through Japanese interpreters as follows:

MR. MATTICE: Exhibit 3899, omitting the parts indicated and the formal parts, reads as follows:

"I was Foreign Minister in the 1st KONOYE
Cabinet during the period from the end of May, 1938
until the end of September of the same year. General
ITAGAKI, Seishiro became War Minister early in June,
1938 and remained in office after I resigned the Foreign

Minister.

"I was read the alleged 'Collection of Decisens at the Five-Minister Conference' from Court exhibit
No. 3457 (prosecution document No. 2,570-B) by the

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Japanese Defense Attorney for defendant ITAGAKI.

"At times proposals were submitted to the Five-Minister Conference in written form. On such occasions, in respect of whose proposals which had come relation or other to the national policy, I used to sign the documents and hand them over to my subordinates. Therefore, if any documents are held at the Foreign Office they are not true originals unless they bear my signature.

"To return to exhibit No. 3457 which is mentioned above, and to speak on the alleged 'Measures to be taken in case of Surrender of the Present Central Government of China; as described in this document under item No. 2 (decided on July 8, 1938 at the Five-Ministers' Conference), such a decision was highly improbable. Most conspicuous in which was the retirement of Chiang Kai-shek from public life as mentioned as the fourth condition of the surrender of the present Chinese central government in item No. 3. When I accepted the most of cabinet minister, I asked Premier KONOYE to cancel the KONOYE statement declared on January 16, 1938 when the occasion required and made this a condition in the acceptance of my post. Premier KONOYF willingly accepted this condition, saying that it was all right to cancel it. Therefore, there was no

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reason for me to take up the question of Chiang Kaishek's retirement from public life on July 8 of the same year not long after I entered the cabinet. It was indeed absolutely an improbable thing.

"Next, with regard to the alleged 'Strategy toward China in Conformity with the Current situation' under i em No. 4 (the decision of the Five-Ministers' Conference on July 1938). I do not remember that I ever made such a decision. As for the item where I was to have the Chinese Central Government ruin itself financially by means of acquiring Chinese funds abroad, etc., I am at a loss as to what it even means. In view of the attendence of the Finance Minister, I cannot think that such a decision could have ever been made.

"As for the alleged 'Policy to guide the Establishment of a New Central Government of China' under item No. 5 (decided on July 15, 1938 at the Five-Ministers' Conference), I believe that it was improbable considering my ideas at that time. I never thought of the establishment of a new central government at that time. I devoted myself to the solution of the affair by establishing a Hankow government (Chiang Kai-shek's regime) and negotiating with this government. Hence I had no reason to consent to such a decision and I should have strongly opposed such a proposal if there were any.

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And if there were any such proposal or decision, I would have remembered it, and since it is not in my memory, I am sure that such a question was not taken up at all at that time.

"With regard to the alleged Special Commission on Chinese Affairs (decided on July 26, 1938) under item No. 12, neither a committee like that was ever instituted nor any decision made by the Five-Minister Conference. Particularly in view of the fact that the l'ive-Minister Conference is not a legislative organ or anything else, and it is absurd that an executive organ would be created under the jurisdiction of the Five-Ministers' Conference.

"As to the alleged 'Outline for the Establishment of the Joint Committee' (decided on September 9, 1938 at the Five-Ministers' Conference) under item No. 15, as I have already mentioned above, since I had no idea of establishing a central government and as I was of the opinion that we should carry out our national policy by respecting the Chiang Kai-shek government, there is no reason for having discussed such a matter as this."

> You may cross-examine. THE PRESIDENT: Judge Nyi.

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CROSS-EXAMINATION

BY JUDGE NYI:

Q Mr. Witness, when was the Five Ministers' Conference first instituted?

A "ell, probably sometime in June, 1938 but I do not have any exact recollection.

Q You mean to say that before that time there was no such thing as Five Ministers' Conference?

That is what I mean.

Q Are you aware of the fact that several Five Ministers' Conferences were held in 1936?

A I do not think there was anything of the kind in 1936.

Q If I should confront you with those court exhibits which contain decisions of the Five Ministers' Conference of 1936 are you going to answer me in a different way?

A The situation is exactly as I have set forth in my affidavit.

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Now, in paragraph 5 of your affidavit you suggested that when proposals were submitted to the Five Ministers' Conference in written form, documents would bear your signature if the proposal had some relation to the national policy, and otherwise it is not a true original, is that your position?

Yes, you are right.

Now, my question is: When such a proposal came from a ministry other than your own and was approved by the Five Ministers' Conference, was it a usual practice that the bureaus or sections concerned in your ministry would have to read and study it very carefully?

Not study; but anything decided upon by the Five Ministers' Conference, or by the Cabinet, carried into effect if they related to foreign relations.

Did you say that they are not going to study it?

There is no room for study after a decision is once made, the only procedure left is to carry it into effect or to find means of carrying the decision into effect.

Now, in that case it at least required reading of it. Would you agree with me?

Well, no doubt they did. A

Then in such a case was it the natural

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consequence or effect that it would require printing and distribution of the document, and merely one copy of the original wouldn't be sufficient for the purpose, would it?

There were no occasions in which copies were made, and if there were it would have been a very rare case. There might have been such a case, but, if so, it was a very rare one.

All right. Then in such a case you wouldn't be surprised if you were shown any document containing a decision of the Five Ministers' Conference although it doesn't bear your signature, would you?

No. I am not particularly surprised.

In your affidavit on page 4 you mention the KONOYE statement of 16 January 1938. You said that you made it a condition for your acceptance of the Foreign Ministership that this statement would be retracted or cancelled when the occasion required.

Yes.

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Was it ever cancelled or retracted, do you know?

The condition was that it was to be retracted or cancelled if necessary, and such a necessity did not arise during my tenure of office.

Did you ever remind KONOYE why he delayed the

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promised cancellation?

A No. That cancellation was not delayed; it was up to me to decide when it was to be cancelled.

Q Now, you stated just a minute ago that the necessity of cancelling it did not arise. Now, what do you mean by "necessity?"

A Well, when peace negotiations were formally under way, and depending upon the progress of peace negotiations the situation might have arisen in which the statement need be cancelled, and at the same time the condition for such cancellation might not have arisen.

Q Well, was it not the policy of the Japanese Government that they were not to deal with the Chiang Kai-shek Government ever since the 16th of January 1938?

A Well, when I became Foreign Minister that was already just a policy in name only. In other words, that the name not to deal with the Chiang regime merely existed as words. But actually that was not the case because informal or private talks were already under way between our side and the Chinese regime then at Hankow.

Q Do you suggest that the Foreign Ministry, of which you were the head, was handling the negotiations with the Hankow Government?

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Yes.

Q Through what channels?

A There was a Japanese Consulate General in Hongkong, and the Hankow Government sent its emissaries to Hongkong, and all telegrams, the exchange of telegrams ingoing and outgoing were conducted from that spot.

Q Were the negotiations progressing very favorably at that time?

A Well, at that stage we were just exchanging views, and I cannot say that the negotiations were progressing favorably at that time. But in my estimation the prospects at that time, as I saw them, were favorable.

Q The fact was that you were planning the campaign of Hankow and Canton, was that right? And such campaign was aimed at the destruction of the Chinese Central Government, was it not?

A No, such was not the case at all. The High Command, of course, was moving troops.

· Q Do you remember when Canton and Hankow fell to the Japanese troops?

A In my recollection the fall took place after my resignation.

Q Were the campaigns at Hankow and Canton planned long before your resignation?

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A Well, you will have to ask the High Command that question.

Q How far is Nanking from Hankow?

A I have never measured the distance before.

Q And it took about more than ten months for the Japanese troops to reach from Nanking to Hankow, was it right?

A I do not know.

Q Do you suggest that the Japæese troops can reach Hankow from Nanking all at once without any preparation?

A I think that is a matter of common sense.

Q Now, on page 5, the middle of the first paragraph of your English affidavit, you say that "I devoted myself to the solution of the affair by establishing a Hankow Government (Chiang Kai-shek's regime) and negotiating with this government." Now, what do you mean by "establishing a Hankow Government?"

A To respect the dignity and prestige of the Hankow Government.

THE PRESIDENT: What does the Japanese original say? We refer that to the Language Section.

JUDGE NYI: Shall I go on?

THE PRESIDENT: Yes.

Q Mr. Witness, what was the purpose of the

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campaign of Hankow and Canton?

A Will you please ask that question to the High Command.

Q Do you mean that as the Foreign Minister you were never at all concerned, or approached for discussion, or participated in any discussion about it?

A I have been consulted on matters of national policy. But any matters which were within the jurisdiction of the High Command I had absolutely no connection as Foreign Minister.

Q This is a matter of national policy, isn't it, whether the Canton Government should be the office of your negotiations, or whether it should be destroyed?

Do you agree with me?

A May I have it repeated?

(Whereupon, the Japanese court reported read.)

A It is a question of national policy. But diplomacy and military operations must progress hand in hand in coordination one with the other. This is the established rule for the prosecution of any war.

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Q But did the campaign have to coordinate with your foreign policy?

A Your question was directed to the objective of the operation, and that is why I replied to you that I had no connections with such matters.

Q No, my question is aimed at both.

Now, suppose there is a situation like this:
You are negotiating with the Hankow Government, and
the High Command is trying to destroy the Hankow
Government. If there had been no discussion between
the two, what would the situation be?

A Of course consultations would have been held on such matters.

Q Now, you stated in your affidavit that you had never participated in any -- that any decision with regard to the establishment of a new Central Government or the joint committee was highly improbable?

A Yes.

Q- Now, you made no mistake about that?

A No mistake.

JUDGE NYI: May the witness be shown exhibit 269?

(Whereupon, a document was handed to the witness.)

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Q Mr. Witness, do you see in this exhibit a report made by you to the Emperor?

A Will you wait a moment? What do you wish to ask me about this?

Q I wish to read you part of this document which contains your report to the Emperor. In the middle of the first paragraph of the English version you stated to the Emperor:

"I had also explained minutely that if
Britain, from a higher point of view, should be more
friendly and conciliatory toward Japan, and abandon
her policy to support Chiang Kai-shek, the relations
between the two countries would be greatly improved."

Have you found that, Witness?

A Yes.

JUDGE NYI: This appears on 3585 of the transcript.

Q Now, let me read to you another current passage in this document. It appears on page 3588 and following in the transcript. On the document itself, I am reading from No. 3 on page 2 of the document, beginning with the 6th line from the bottom:

"The establishment of the Federated Committee of the Government of the Republic of China:

"Since the establishment of the Chinese

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Interim Government in December last year, and the Revolutionary Government in March this year, these two regimes have been separated in the north and in the south, and there had been no organ to link their controls.

"They have been working from their respective standpoints to hastily organize their administration, finance and public peace, etc.

Japan will further proceed with her effort in the destruction of the Chiang Kai-shek Regime, while contemplating carefully the establishment of a new Chinese Central Government in its place. As a premise for the establishment of a new Chinese Central Government, Japan has decided to immediately establish a Federated Committee with the cooperations of both the Interim Government and the Revolutionary Government and including the Mongolia-Sinkiang Federated Committee."

Now the so-called Federated Committee is just another translation of the word "Joint Committee," which appears as No. 15 of exhibit 3457. There can be no dispute that the establishment of the Central Chinese Government was at that time being contemplated.

Now, Mr. Witness, do you recognize that this

is the report which you made to the Emperor?

A No, I do not.

- Q You do not.
- A Should I state the reason?

Rhow whether this is a correct copy of the report that you made to the Emperor. In this connection will you please examine into this document, which is a document from the Archives Section of the Foreign Ministry, and it also bears the chop of the official who was supposed to be in charge. I think MATSUMURA was the name. Is there any mistake about its source and authenticity?

- A Yes, very much.
- Q All right.
- A Shall I state it?

As it states, this consisted of materials for a private report. They contained raw materials to supply my mind with ideas on the basis of which I was to make a report to the Throne. So this is not the report that was made to the Throne, and I state that this document contains the opinions of the First Section which drew up this document as its opinions and as its desires as being the views which that section wanted conveyed to the Throne. The contents

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of this document do not represent my opinions, and consequently they were not the opinions I reported to the Throne.

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Q Now, you say this is the opinion drawn by the chief of the First Section, was that right?

A Yes. This document represents only the views of this particular section, and submitted to me -- and submitted to the Foreign Minister. If I did give this report, I naturally would have -- These were views submitted by this section to the minister, and the minister naturally would select appropriate material out of this particular document for preparing a report to the Throne.

But, as a matter of fact, I do not remember now, at this date, whether a report of this nature was actually made to the Throne.

Q Now, what did that section take charge of?
Was that the East Asiatic Bureau?

A I think it was a section in the East Asiatic
Affairs Bureau, the Foreign Office, which was in charge
of China affairs.

Q Did that section know that negotiations were being carried on between you -- between the Foreign Ministry and the Hankow Government through the officials at Hong Kong?

A Being merely preliminary talks, the section was not informed.

Q This section prepared the matters for your

report to the Emperor. You -- now, I haven't given you the question yet.

Now, you wouldn't find that a section which was supposed to take charge of East Asiatic Affairs would prepare a report containing views which are contrary; in fact, contradictory and very much opposed to your views, would you?

A There is no contradictions whatsoever. But in view of the possibility that if our subordinates were informed such information might leak out as to what we were contemplating or thinking about, it was only after preliminary arrangements had been completed and formal steps were to be taken that these subordinates were informed, for it was from that point that the work of our subordinates began.

TRE MONITOR: Slight correction: Because of possibility of premature leakage.

Q You do realize that a report to the Throne is a very important, serious thing? It is not a discussion at random, or over teacups, is that right?

A Yes, more than enough.

Q Now, Mr. Witness, when you were Minister of Foreign Affairs, have you ever talked to the War Minister, ITAGAKI, on the proposed campaign of Canton and Hankow?

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A I never talked with him about operations.

Mell, operations, as you have stated, is a matter for the General Command. Have you talked to him anything about the campaign in connection with other things which properly belong to the sphere of the War Ministry?

A I think so, but I have no recollection now.

Q Can you recollect what his views are or what the contents of your talk with him are?

I think our views were generally agreed, and I have no recollection in detail. If there was any divergence of views between us, then I might recall something; but in view of the fact that I have no recollection whatsoever, I believe that our views were generally the same.

Now, do you know that on the 16th of August 1938, a cabinet meeting was held in which some of the Five Finisters' Conference decisions were adopted at that time?

A I have no recollection.

JUDGE NYI: May the witness be shown IPS document 1658-C.

THE PRESIDENT: If he is contradicted by documents already in evidence, perhaps you will be satisfied to use the material on your summation.

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JULGE NYI: Your Honor, I shall just give him a chance to identify it.

Q Are these the decisions which were adopted at the cabinet meeting of 16 August 1938?

A I can't find the date, August 16, on this document.

I have no recollection of anything of this kind.

Q Now, do you see in this document that six of the Five Ministers' Conference decisions were adopted by the cabinet meeting of 16 August 1938?

A I have seen the document, but I have no recollection of anything of this kind.

Q Do you remember, if I may refresh your mind, that in this meeting you, as the Foreign Minister, made a report on the Five Ministers' Conference?

A I have no recollection.

Q Let me refresh you further. It was a continuation of the previous meeting, as recorded in KIDO's diary of August 16, 1938.

A Whether there might be such an item in KIDO's diary, I can only say that KIDO had nothing to do with, and did not attend, this meeting of the Five Ministers' Conference.

THE MONITOR: "Did not attend" should be stricken

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Q Now, my question is like this: It was the continuation of the cabinet -- previous cabinet meeting as recorded in KIDO's diary and he, KIDO, was recording the cabinet meeting, not the Five Ministers Conferences.
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A Under the Japanese system, the Lord Keeper of the Privy Seal has no relations or no connections with the cabinet -- cabinet meeting.

Q Now, do you recall that there are occasions
where the Five Ministers Conference decisions were
refutted by the cabinet meeting?

A Perhaps, although rarely, there might have been such a case, but I do not recall anything specifically now.

Q All right. Now, Mr. Witness, may I ask you what your present age is?

A 81 by Japanese reckening.

BY JUDGE NYI: Thank you very much. This concludes my cross-examination.

THE PRESIDENT: Captain Kraft.

LANGUAGE ARBITER (Captain Kraft): If the

23 Tribunal please, the following language correction is

24 submitted: Exhibit No. 399, defense document 3047,

25 revised, page 5, line 8, delete "establishing" and

substitute "supporting."

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THE PRESIDENT: Thank you, Captain Kraft.

Mr. Mattice.

MR. MATTICE: May the witness be excused on the usual terms?

THE PRESIDENT: He is excused accordingly.

(Whereupon, the witness was excused.)

MR. MATTICE: We offer now, if the Tribunal please, defense document No. 3519, which is the affidavit of the witness USAMI, Okiie.

The prosecution has introduced excerpts from the HARADA-SAIONJI Memoirs. Among these is Exhibit No. 3795-A tending to prove that with regard to the Japanese, German and Italian negotiation for the purpose of strengthening the Anti-Comintern Pact, the Emperor ordered and allowed the Chief Aide-de-Camp to convey to General Staff Headquarters the Imperial wish that the Anti-Comintern Pact be applied to Soviet Russia only. ITAGAKI denied this, This witness was the aide-de-camp at the time stated and will tell this Tribunal that he has no recollection of being sent on such a mission.

The prosecution, with exhibit No. 3798-A, sought to establish that the Emperor reprimanded ITAGAKI around April 10, 1939, on the subject of an

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allegation that ITAGAKI had, to some extent, shielded SHIRATORI and OSHIMA, who were alleged to have been acting contrary to instructions of the central authorities. This witness will state that the Emperor did not reprimand ITAGAKI. This witness was the only person present besides the Emperor and General ITAGAKI and his evidence is, therefore, important and of probative value.

THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Defense document 3019 will receive exhibit No. 3900.

JUDGE NYI: May it please your Honor, I thought the witness was not yet on the stand. I thought the defense was going to introduce it after he comes in. I want to state my objections to this.

THE PRESIDENT: Well, if you want the witness cross-examined.

JUDGE NYI: No, we are not going to crossexamine. We want to state our objections.

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THE PRESIDENT: I waited for you to do so, and you didn't. I assumed there was to be no objection.

In the future, I will have to ask specifically, "Is there any objection?" I have not been doing that regularly. There have been so many belated objections lately that I have adopted the practice of asking whether there is any objection.

State your objection.

JUDGE NYI: Thank you.

The prosecution objects to the introduction of this affidavit on the ground that it is immaterial and that it does not have probative value. The witness stated in his affidavit that after report was made to the Emperor by a minister of the Cabinet, the Chief Aidede-Camp often retired from the scene leaving the two alone. Therefore, the witness would not be present when conversation took place afterwards.

In paragraph 4, the witness only stated that he did not remember that he went to General Staff Head-quarters to convey a message. This is far from being certain and definite.

In paragraph 5, he again stated that he cannot recollect whether ITAGAKI made a report to the Throne on or about the 10th of April, 1939. Again he was indefinite. Moreover, the date he refers to did not coincide

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with the date which appeared on exhibit 3798. The date which appeared in that exhibit is the 18th of April, 1938. There is a whole lot of difference between these two dates.

We therefore submit that the present document should be rejected.

THE PRESIDENT: Mr. Mattice, are you going to try to support this?

MR. MATTICE: Yes, your Honor.

With respect to paragraph 3, take Judge Nyi's objections thereto. At least it does show, with respect to the time, the witness was present, and that was the time, that was the important time. I submit that that was the important time. That was when General ITAGAKI was making his report to the Emperor and when, if any such comment on the Emperor's part had been made at all, it most likely would have been made at that time.

More than that, the period during which this witness says he retired was the social period, apparently which sometimes occurred after the person who had an audience with the Emperor had completed his business and the Emperor invited him to remain. So it seems to me that prosecution's objection so far as this paragraph is concerned is not very well taken. It should be recalled also that this witness was the only person on earth who

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was present at such occasions.

That is all.

THE PRESIDENT: By a majority the objection is sustained and the document rejected. Each Member who rejects the document says it has no probative value.

MR. MATTICE: That concludes the rebuttal on behalf of this accused, if the Tribunal please.

THE PRESIDENT: It is nearly a quarter to three. We will recess for fifteen minutes.

(Whereupon, at 1445, a recess was taken until 1500, after which the proceedings were resumed as follows:)

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed. THE PRESIDENT: Major Blakeney.

MR. BLAKENEY: May it please your Honors, in presenting the surrebuttal evidence on behalf of General TOGO, I shall temporarily defer the first document on the list and I shall first call as a witness HOGEN, Shinsaku, whose affidavit is defense document 3070. He is being called because I wish to ask him one additional question to supply a matter omitted in the drafting of his affidavit. I will continue to state the purposes of his testimony. The purposes of this testimony are:

To show that the last four paragraphs of the prosecution's exhibit No. 3835 do not record a part of the conversation between Foreign Minister TOGO and 16 Ambassador Ott of 27 October, thereby rebutting any presumption which might be founded on the document that the dispatch of KURUFU was discussed in October,

To refute, by the additional question which I wish to be permitted to put to the witness, the allegation contended by the prosecution to be sustained by exhibit No. 3835, that the initiative in the matter of abrogation of the secret clause of the Anti-Comintern Pact came from the German side,

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HOGEN, called as a witness in HINSAKU behalf of the defense, being first duly sworn, 2 testified through Japanese interpreters as follows:-DIRECT EXAMINATION BY MR. BLAKENEY: Please state your name. HOGEN, Shinsaku. My age, 39. 8 MR. BLAKENEY: Let him be shown defense document No: 3070; please. 10 (Whereupon, a document was shown 11 to the witness. 12 Please examine this document, Fr. Witness, and 13 state whether it is your affidavit, duly executed by you. 14 This is the document prepared by me. 15 16 And are the contents thereof true and correct? 17 Yes. 18 MR. BLAFENEY: I offer in evidence the affidavit, 19 defense document No. 3070. 20 THE PREFIDENT: No objection. Admitted on the 21 usual terms. 22 CLERK OF THE COURT: Defense document 3070 will 23 receive exhibit No: 3900. 24 (Whereupon, the document above referred 25

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to was marked defense exhibit No. 3900 and received

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in evidence.)

MR. BLAKENEY: I read the exhibit which, omitting the formal parts, is as follows:

"1. In 1941 I was assigned to the Fecond Section of the European-Asiatic Bureau, and served as a secretary of the Foreign Ministry, acting as interpreter of all conversations between Foreign Minister TOGO and German Ambassador Ott during October and November 1941."

In this next paragraph there is one correction.

(Reading continued) "2. Memoranda of the conversations referred to in the preceding paragraph were prepared by me immediately after each conversation, and after being (in some cases) submitted to the Foreign Minister for his corrections, were recopied in form for filing. I do not now, of course, remember the details of each conversation, but I do retain the memory of the general outlines thereof.

ing to be a record from the Foreign Ministry files of the conversation between the Foreign Minister and the Ambassador of 27 October 1941. This memorandum is not in my handwriting, but the contents of the first 7 pages (in the Japanese copy -- through the second paragraph of page 4 of the English) conform to my memory of the conversation, which I interpreted. The last page, however,

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Washington, contains matters not discussed at that time.

The first knowledge which I had of the dispatch of
Ambassador KURUSU was after his departure from Tokyo
on 5 November, having never heard of the matter before,
either at a conversation with Ott or elsewhere. I
remember that the matter was discussed between the
Foreign Minister and Ambassador Ott, who had asked for
an interview, on the following day -- that is, 6 November
as is shown by the Ambassador's report, defense document
3053, which I have been shown and identify as a correct
report of the general subjects discussed at that time,
though incorrect in particulars. The last page of
exhibit 3835 is a page of the Foreign Ministry memorandum
of this conversation."

By leave of the Tribunal, I have one question additionally.

Q Mr. Witness, at the conversation which purports to be recorded in exhibit 3835, was any suggestion of abrogating the secret clause of the Anti-Comintern Pact made by Ambassador Ott?

A There was no suggestion ever made.

MR. BLAKENEY: May the witness be excused?

THE PRESIDENT: He is excused accordingly, on the usual terms.

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(Whereupon, the witness was excused.)

MR. BLAKENEY: I offer in evidence defense document 3053, the telegram of Ambassador Ott, identified by the witness HOGEN, as evidence that the question of the dispatch of Ambassador KURUSU had not, as is suggested by exhibit 3835, been discussed with Ambassador Ott prior to 6 November 1941.

THE PRESIDENT: No objection. Admitted on the usual terms.

CLERK OF THE COURT: Defense document 3053 will receive exhibit No. 3901.

(Whereupon, the document above referred to was marked defense exhibit No. 3901, and received in evidence.)

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PR. BLAKENEY: I shall read only two brief excerpts from the exhibit, the first at the beginning:
"Tokyo, 6 November 1941, 10:50 P. M.

"Arrived, 7 November 1941, 17:50 o'clock.

"Most Urgent!

"Secret!

"Foreign Minister TOGO, to whom I have spoken about the sudden dispetch of Ambassador KURUSU to "Tashington, explained to me that Ambassador Admiral NOMURA had asked for the dispetch of an experienced professional diplomat to support him in the present difficult situation"; and I omit from there to the beginning of paragraph 3, of which I shall read the first sentence:

"The dispatching of KURUSU has been decided only recently, as I learned confidentially and as is proved also by his overhasty departure."

Next I offer in evidence defense document 3069, the affidavit of NISHI Haruhiko, to rebut the evidence of the prosecution alleged to establish that Proposals A and B were final and left no room for further concession, and specifically exhibits 3836, transcript page 38,070, and 3837, transcript 38,073, stated at the time they were tendered to have that effect, transcript 38,069, 38,061.

THE PRESIDENT: Mr. Chief of Counsel.

MR. KEENAN: If the Court please, the prosecution objects to this affidavit on the ground that not alone is it improper to be received at this stage of the trial, but it is not competent evidence at any stage of the trial. This is an attempt to substitute the views of this witness and his interpretation of documents for that of the Court.

Reference is made at page 1, in the middle of the third paragraph, to"simplified explanations of the state of current business for the information of the diplomatic service at large." This casual language is applied to an exhibit that is dated 25 November 1941, at about the very day the Japanese war fleet was leaving for its attack upon Pearl Harbor. It is an attempt, the prosecution respectfully contends, to educate this learned Tribunal on the meaning of diplomatic lan' lage.

In the third paragraph, about on the second page of the English version, is an attempt on the part of this witness to give testimony that the language used, "final proposal," does not mean what it says; it means something different, although the witness does not see fit to give his explanation of just what the language does mean.

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that the evidence introduced in this case, of which
there is a great sufficiency, we claim, shows very well
whether or not there was a final proposal submitted to
the United States of America in the month of November,
1941, by the Lapanese authorities. We believe that the
evidence with reference to that issue, if it is one,
has been fully presented by the prosecution and that
the accused have had full opportunity to meet it, and
it cannot be changed by this witness's interpretation,
any more than it can be by the interpretation of language given by any accused in this dock at any time.

Since therefore, Mr. President, we hold that this affidavit or testimony from the witness is plainly intended to invade one of the most important provinces of the Court, the prosecution emphatically voices its protest, and objects to its introduction.

THE PRESIDENT: Mr. Blekeney.

IR. BLAKENEY: In answering this objection I should like call to the Tribunal's attention the fact that, unless I am quite mistaken, there is nothing in this affidavit which approaches a conclusion or an opinion or, as Mr. Chief of Counsel says, an interpretation by the witness, but on the contrary, the affidavit is strictly and completely factual. This witness

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in no instance professes to give the meaning of any language and certainly not, as alleged, contends that the language means other than what it says.

THE PRESIDENT: That does it do, Major Blakeney?

MR. BLAKENEY: On the contrary, this witness undertakes to state in a factual way instances of the practice of the Foreign Ministry in sending instructions of this type, from which the Tribunal can draw the conclusion which may be that I insist for or may be that the prosecution contends for.

THE PRESIDENT: What scope is there for expert knowledge in these matters? Hitherto we have refused to treat diplomats as experts. We have looked at their language and said it is for us to say what it means, what effect to give to it, and this is one of those times.

MR. BLAKENEY: I would submit, your Honor, that the Tribunal can be assisted in determining the meaning of language by having knowledge of the course of dealing, the course of conduct, and the practice of those who have used this language at this time and in the past as well.

THE PRESIDENT: In negotiations between countries we must hold these diplomats and others to their

own language.

MR. BLAKENEY: Your Honor, possibly I haven't made it clear that we are not dealing with the language of Japan to another country; we are dealing with the language of the Japanese Foreign Ministry to its own agents.

THE PRESIDENT: That about the effect on the other side? Does it not matter?

PR. BLAKENEY: The evidence which this is being offered to rebut, your Fonors, was not itself offered in connection with the effect on the other side but as proof of the state of mind of the defendant responsible for sending out that language.

THE PRESIDENT: There is only one way to read his mind, that is, by his language and by his conduct. Here we have his language.

MR. BLAKENEY: If I may say one more word:

I would suggest what I believe to be an analogy to
this case. If your Honors were called upon to construe the terms of a contract or negotiations between
stockbrokers, you couldn't hope to understand their
language unless you knew the practice of the stock
eychange.

known the effect of the language he used or which he authorized to be used on the other side in the negotiations.

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MR. BLAKENEY: Of course, because he knew the diplomatic practice, your Honor.

THE PRESIDENT: This rests on my vote; therefore I have taken care of it. By a majority the
objection is sustained and the document rejected.

MR. BLAKENEY: Lastly, I tender for identification the Foreign Ministry Treaty Bureau's "Report of Activities" for a year 1941 and offer in evidence an excerpt therefrom, defense document 3039. This document is offered to rebut any possible inference con ained in prosecution exhibit No. 3835, transcript pages 38,065, et sequentia that the abrogation of the secret agreement of the Anti-Comintern Pact was brought about through German initiative, as alleged by the prosecutor at transcript page 38,061.

THE PRESIDENT: Any objection?
Admitted on the usual terms.

CLERK OF THE COURT: The book entitled "Report of Activities for the Year 1941," printed in Japanese, will receive exhibit No. 3902 for identification only and the excerpt therefrom, bearing defense document No. 3039 will receive exhibit No. 3902-A.

(Whereupon, the book above referred to was marked defense exhibit No. 3902 for identification; the excerpt therefrom, being

defense document 3039, was marked defense exhibit 3902-A and received in evidence.)

MR. BLAKENEY: I shall not read the exhibit.

Mr. Furness will continue with the order of proof denominated surrebuttal, Furness and Blakeney.

THE PRESIDENT: Major Furness.

MR. FURNESS: I offer in evidence the affidavit of TANAKA, Ryukichi, who has already testified many times before this Court, in surrebuttal of the testimony of the witness Grebennik, exhibit No. 3854, pages 38,289, et sequentia of the transcript, and in reply to the testimony of the witness Batarshin, exhibit No. 757, page 7811, cross-examination, page 32,069, and the witness Chernopyatko, exhibit No. 755, page 7808, cross-examination page 32,155.

THE PRESIDENT: General Vasiliev.

duction of the affidavit of TANAKA, Ryukichi, because it is repetitive and is irrelevant at the present stage of surrebuttal. The witness was already examined in court with regard to the Lake Khassan events. This affidavit is at pages 22,716-22,720 of the transcript. The cross-examination of this witness lasted almost one and a half sessions, May 21 and 22, 1947, pages 22,721-22,758 of the transcript.

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produced to be examined about the sketch map of the Lake Khassan events offered by the defense during the cross-examination of another witness, MIURA, Kazuichi, and was examined on May 23, 1947 and on May 26, 1947, pages 22,943-22,966 of the transcript.

This affidavit contains nothing new and therefore it should be rejected.

THE PRESIDENT: Major Furness.

MR. FURNESS: If the Court please, this affidavit does contain many new matters in answer to the testimony of the witness Grebennik. I said when I cross-examined the witness Grebennik that I was cross-examining on the basis that I would be able to introduce evidence in reply. The Tribunal will recall that the witnesses, Batarshin and Chernopyatko, came in very late and were also cross-examined on the same matters. These matters related to the caliber of the artillery, the weapons which crossed the river, whether they were artillery or infantry, the caliber of such weapons, and also dealt with the withdrawal of troops on the 27 of July. The Tribunal will further recall that I cross-examined with regard to the presence of tanks and whether or not Soviet armament and Soviet men crossed the border line as contended by the Soviets.

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THE PRESIDENT: By a majority the objection is overruled and the document admitted on the usual terms.

CLERK OF THE COURT: Defense document No. 2978 will receive exhibit No. 3903.

(Whereupon, the document above referred to was marked defense exhibit No. 3903 and received in evidence.)

MR. FURNESS: I will read that exhibit, omitting the formal parts:

1947 (exhibit No. 2628), I was, at the time of the Chang-ku-feng Incident, commanding officer of the 25th Mountain Artillery Regiment, 19th Division. The only Japanese artillery in the vicinity, either before or during hostilities, consisted of rifles, 75 millimeter caliber, pack horse carried, and mortars, 15 centimeter caliber, short range, except a battery of railroad artilbery which on 8 August came to a point 8 kilometers to the north of Kogi which is to the west of the Tumen River, and which did not fire until 9 August. All artillery, both before and during hostilities, remained to the west of the River Tumen, at no time crossed the river, and no artillery positions were constructed to the east of the river.

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back, on 28 July 1938, i.e., before hostilities started, to our headquarters at Ranan, Korea, which is about 100 kilometers from Chang-ku-feng, all men and guns under my command, the rifles above referred to, returned to that place with me, leaving only the 15 centimeter mortars in the vicinity of Chang-ku-feng. When I returned to the west bank of the River Tumen at 5:00 a.m. on 31 July 1938, all the other artillery, i.e., the 15 centimeter mortars, was placed under my command, and when the railroad artillery arrived, it was also placed under my command.

troops in the vicinity to the east of the River Tumen consisted of 40 border guards, infantry troops, armed with no heavier armament than light machine guns.

Other infantry troops crossed the River Tumen from the west bank to the east 26 July, but all these latter troops were withdrawn to the west of the River Tumen on 27 July, and did not cross again until 29 July after the skirmish on that day commenced. None of the latter troops took part in any hostilities until after they started again on the morning of 31 July. The infantry at no time before or during hostilities had any heavier armament than anti-tank guns, 37

millimeter caliber, man carried, and trench mortars, 75 millimeter caliber, muzzle loading, short range, and man carried.

"5. When I returned at 5:00 a.m. on the morning of 31 July, I saw two Russian tanks which had been disabled by the 15 centimeter mortars on the northern slope of Chang-chun-feng, well within Manchoukuan territory, even under the Russian claims. Three more Russian tanks were disabled that day on the northwestern slope of Sha-tsao-feng (Bezimyannya), also well within Manchoukuan territory, under the Russian claims. All five of these remained in their disabled conditions on Manchoukuan territory, even under the Russian claims throughout the hostilities. In all ten Russian tanks crossed the border as claimed by the Russians into Manchoukuan territory on 31 July. When I arrived at 5:00 a.m. on 31 July, Russian artillery was firing on objectives to the west of the River Tumen, and several shells scored hits on my men and guns.

"6. The Russian military headquarters was at Yen-chu (Novokievsk), about 20 kilometers (121/2 miles) from Chang-ku-feng."

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THE PRESIDENT: Major Blakeney.

affidavit of the witness YANO, Mitruji, defense document No. 3,084. The witness will testify concerning boundary markers on the Manchukuo-Mongolia border in the Nomonhan area, and the presence of Mongolian guards on the eastern bank of the Haluha River, rebutting testimony given in rebuttal by prosecution witnesses Bykov, exhibit 3,857, transcript page 38,360, and, on cross-examination, transcript pages 38,389-93, 38,376-380; and Chogdan, exhibit 3,862, transcript page 38,531 and, on cross-examination, 38,554-561.

THE PRESIDENT: General Vasiliev.

GENERAL VASILIIV: I object to the introduction of this document, if the Tribunal please, because it repeats the evidence given by the same witness at some previous time. This testimony is at pages 22,994-999 of the transcript. This affidavit contains no new matter.

In paragraph 1 of his affidavit he says that he repeats his former testimony. In paragraph 2 he says that he was shown affidavits of Bykov and Chogdan. Paragraph 3 repeats evidence given by the witness YANO, hitsuji on may 26. In paragraph 4 he

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repeats his evidence given previously and, besides, draws his conclusions as to the demarcation of the borderline. I submit that these conclusions are absolutely immaterial.

THE PRESIDENT: Having admitted TANAKA's affidavit, how can we reject this?

GENERAL VASILIEV: I personally think that TANAKA's affidavit shouldn't be admitted; but, if the Court had admitted that affidavit, that does not mean that this affidavit should be admitted also. I see no connection between these two affidavits.

THE PRESIDENT: By a majority the objection is overruled and the document admitted on the usual terms.

CLERK OF THE COURT: Defense cocument No. 3684 will receive exhibit No. 3904.

(Whereupon, the document above referred to was marked defense exhibit No. 3904 and received in evidence.)

MR. BLAKENFY: I read the exhibit commencing with paragraph 1:

"1. I have already testified before this ribunal, on 26 may, 1947, concerning my trips of inspection of the manchukuo-mongolia border (exhibit 2650). As I testified at that time, I visited the

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area in October 1938 (I had also been through the area by automobile in september 1936) following the Haluha River from near Handagaya to near Nomotsohrin without finding mongolians on the right bank of the river.

"2. I have been shown exhibits 3857 and 3362, in which the witnesses By tov and Chogdan respectively have testified that the wanchukuo-wongolia boundary was to the east of the Haluha River, and their testimony on cross-examination in which they state that that boundary was marked with border markers before the Nomonhan Incident of 1939.

"3. From my two inspections of this area I can testify positively that there were no border markers of any nature between obos, nor were there in either 1936 or 1938 any markers or poles on top of the obos bearing inscriptions in Longolian. In fact, a feature of that country is the extreme scarcity of wood of any description. The country is steppe, and there is nothing to obstruct the view except where there are occasional small hills; in my tours I made various side-trips from the route, and constantly examined the surrounding country through 8-power field glasses. I saw neither inscribed markers on the Nomonhan Burd, Huld Ulyn and Ers Ulyn obos, nor poles or border markers of any description between

these obos, all of which, however, I did visit and inspect. At Nomonhan Burd obo there was a building of the Nomonhan branch of the menchukuo police, in which resided seven or eight police.

"4. I have traveled also on other borders of the so-called mengolian People's Republic, but have never in any area seen wooden border markers on these borders. On such parts of the border as are marked with stone obos, those obos are erected in pairs. Nomonhan Burd obo is, as testified to by Chogdan, a pair of obos; but when they are used for border markers the pairs of obos are not confined to one pair but are continuous. There are other instances, in various border areas of mongolia, of a single pair (or even triplet or more) of obos, but these d. not mark the borders because one pair alone does not establish a line.

"5. During my 1938 trip I met Manchukuoan cavalry, border guards or police at Handagaya, Nomo-tsohrin, Amkoro and Nomonhan burd."

I offer in evidence the affidavit of the witness YOKOI, Tadamichi, defense document 3083.

The purpose of this witness' testimony is the same as in the case of the preceding witness.

THE PRESIDENT: General Vasiliev.

GENERAL VASILIEV: I object to the introduction of this document because it repeats what was said in the preceding document; and, therefore, it is repetitive.

THE PRESIDENT: By a majority, the objection is overruled and the document admitted on the usual terms.

CLERK OF THE COURT: Defense document No. 3083 will receive exhibit 3905.

(Whereupon, the document above referred to was marked defense exhibit No. 3905 and received in evidence.)

...R. BLAKENEY: I read the exhibit:

"1. I am a former major-general of the Japanese Army, at present residing at Tokyo-to, Meguro-ku, Tairamachi 118. From May 1938 to 28 July 1939 I served as chief of the Hailar military Special Service detachment.

"2. Immediately after taking office at
Hailar, I made a trip through the area of the eastern
bank of the Haluha River to inspect conditions around
the border. That country is a steppe area with
undulating terrain features, without trees, and a
wide view is, therefore, to be had. I made frequent
excursions for some distance toward the river, and to

within a few kilometers of it, inspecting the whole country adjacent to it both in person and with field glasses.

"3. At that time I found wanchukuoan or Japanese units stationed in the area to be as follows:

"Assursum (northwest of Buir Nor) - 1 Man chukuoan cavalry squadron (about 100 men);

"Amkoro - several Japanese mP's, about 20 ...anchukuoan police;

"Nomotsohrin - 1 manchukuoan cavalry section, (about 20 men and horses);

"Nomonhan - 7 manchukuoan police;

"mandagaya - 2 correspondents of Hailar Special Service detachment, about 10 Manchukuoan police.

"I found no Outer mongolian border guards at all on the East or right bank of the "aluha River.

No one molested my party or attempted to prevent us from travelling in the area. Nor did I see any trace of border markers, either in the form of paired obos (except the one pair at Nomonhan Burd) or in the form of wooden or other border monuments. From my inspection of the area I can say that such markers were non-existent."

THE PRESIDENT: Is that the end of UmEZU's

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case, major Blakeney -- ToGO's case?

MR. BLAKENEY: This is now the beginning of U.EZU's case. That was the end of TOGO's case.

THE PRESIDENT: We will adjourn now until

THE PRESIDENT: We will adjourn now until half-past nine tomorrow morning.

(Whereupon, at 1555, an adjournment was taken until Tuesday, 10 February, at 0930.)

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